

which, in turn, is equivalent to (3) in [Definition 2.1](#). It remains to show that (1) in [Definition 2.1](#) is equivalent to (a) above. To this end, we note that (1) in [Definition 2.1](#) is equivalent to

$$(3.2) \quad DV = V_x.$$

Substituting  $D = P^{-1}Q$  and multiplying both sides of (3.2) by  $P$  from the left, demonstrates that (1) in [Definition 2.1](#) is equivalent to

$$(3.3) \quad QV = PV_x.$$

We next split  $Q$  into its symmetric and anti-symmetric part, rewriting it as  $Q = (Q+Q^T)/2 + (Q-Q^T)/2$ , where the symmetric and anti-symmetric part is given by  $(Q + Q^T)/2 = B/2$  and  $(Q - Q^T)/2 = S$ , respectively. Substituting the resulting representation  $Q = B/2 + S$  into (3.3) yields that (1) in [Definition 2.1](#) is equivalent to

$$(3.4) \quad BV/2 + SV = PV_x.$$

Finally, (3.4) can be reformulated as

$$(3.5) \quad \underbrace{\begin{bmatrix} S & P \end{bmatrix}}_{=X} \underbrace{\begin{bmatrix} V \\ -V_x \end{bmatrix}}_{=W} = -BV/2,$$

which shows that (1) in [Definition 2.1](#) is equivalent to (a) above. ■

Building upon [Lemma 3.1](#), we can now identify FSBP operators as solutions to specific optimization problems. To this end, recall that an  $\mathcal{F}$ -exact diagonal-norm FSBP operator can be written as  $D = P^{-1}(S + B/2)$ , where  $P$  is a diagonal positive definite matrix and  $S$  is anti-symmetric. Furthermore, observe that (a) in [Lemma 3.1](#) is equivalent to  $\|XW + BV/2\|_2^2 = 0$ . Hence, if  $D = P^{-1}(S + B/2)$  is an  $\mathcal{F}$ -exact diagonal-norm FSBP operator then  $X = [S, P]$  is the global minimizer of the constrained quadratic minimization problem

$$(3.6) \quad \min_{X \in \mathcal{X}} \|XW + BV/2\|_2^2.$$

Here, the set of admissible solutions, or constraints, are

$$(3.7) \quad \mathcal{X} = \left\{ X = [S, P] \mid S^T = -S, P = \text{diag}(p_1, \dots, p_N), p_i > 0, \sum_{n=1}^N p_n = x_R - x_L \right\},$$

ensuring that  $S$  is anti-symmetric,  $P$  is diagonal positive definite, and  $P$  is exact for constants. The first two constraints correspond to (b) and (c) in [Lemma 3.1](#), while the last one ensures that [Requirement 2.3](#) holds. Conversely, if  $X = [S, P]$  is a solution of (3.6) with  $\|XW + BV/2\|_2^2 = 0$ , then  $D = P^{-1}(S + B/2)$  is an  $\mathcal{F}$ -exact diagonal-norm FSBP operator. We summarize this characterization of FSBP operators as solutions of the constrained quadratic optimization problem (3.6) below in [Lemma 3.2](#).

**Lemma 3.2.** *Let  $\mathcal{F} \subset C^1([x_L, x_R])$ . The operator  $D = P^{-1}(S + B/2) \in \mathbb{R}^{N \times N}$  is an  $\mathcal{F}$ -exact diagonal-norm FSBP operator (see [Definition 2.1](#)) if and only if  $X = [S, P] \in \mathbb{R}^{N \times 2N}$  solves the constraint quadratic minimization problem (3.6) that satisfies  $\|XW + BV/2\|_2^2 = 0$ .*