

Less Noise, More Signal: DRR for Better Optimizations of SE Tasks

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Abstract—SE analytics problems do not always need complex AI. Better and faster solutions can sometimes be obtained by matching the complexity of the problem to the complexity of the solution. This paper introduces the Dimensionality Reduction Ratio (DRR), a new metric for predicting when lightweight algorithms suffice. Analyzing SE optimization problems—from software configuration to process decisions and open-source project health—we show that DRR pinpoints “simple” tasks where costly methods like DEHB (a state-of-the-art evolutionary optimizer) are overkill. For high-DRR problems, simpler methods can be just as effective and run two orders of magnitude faster.



1 INTRODUCTION

When SE data grows too large, data mining algorithms can find the signal in the noise. Such algorithms are controlled by “hyperparameters”; e.g.

- When learning k clusters, k is a hyperparameter;
- When learning decision trees, the maximum allowed height of the tree is another hyperparameter.

Finding good hyperparameters is something of a black art. *Hyperparameter optimizers* (HPO) are tools for automating that search. HPO can dramatically improve learner performance [23]–[36]. For example, for code smell detection, Yedihda & Menzies found that a decades-old feedforward neural nets (which take seconds to run, so HPO is fast) can be tuned to out-perform a state-of-the-art deep learner [36].

But there is a problem. HPO requires running a learner many times. Hence, it can be impractically slow; e.g. Yedihda & Menzies could not tune their state-of-the-art deep learner since each run of that learner needed eight hours to complete. Also, there are so many HPO methods [37] that practitioners can get confused about which one to use.

To solve these two problems, we propose

Selecting algorithms via intrinsic problem complexity.

We show that intrinsic complexity tells us how to speed up HPO for improving predictors for a wide range of SE tasks (such as those listed in Figure 1). Our *Dimensionality Reduction Ratio* (hereafter, DRR) checks if R attributes can be reduced to $I < R$ “intrinsic” underlying attributes. DRR is a static property of the data used to train a predictor. It can be easily and quickly calculated which means that DRR can assess new problems without elaborate or expensive algorithms. We show that when:

$$\left(DRR = \left(1 - \frac{I}{R} \right) \right) > \frac{1}{3} \quad (1)$$

then very simple methods can optimize SE regression problems, two orders of magnitude faster than standard state-

of-the-art AI optimizers (seconds, as opposed to 20 minutes). This is a significant results since many SE data sets satisfy Equation 1. For example, of the SE data in Figure 1, $\frac{16}{18} = 89\%$ of them satisfy Equation 1.

Another important aspect of Equation 1 is that it significantly improves on a recent IEEE TSE publication. Agrawal et al. [30] studied HPO and intrinsic dimensionality. After experimenting with older AI algorithms, Agrawal et al. recommended simpler algorithms when $I < 4$. But our results (which studies more of the state-of-the-art) finds many counter examples to their threshold rule. That is, our Equation 1 fixes numerous errors in prior work.

The rest of this paper is structured via guidelines from Wohlin & Runeson et al. [38] on empirical software engineering. We present our methods in Section 3, within which we discuss all algorithms, case studies, experimental design choices and datasets used in this study. In summary, we will

- Apply different kinds of HPO ...
- ... to tune an ensemble learner to improve regression and classification performance of models ...
- ... built from the Table 1 data (and where a data sets list many goals, we predict for the first listed goal).

Following this we present results in Section 4. See also Section 5.2 for a discussion on threats to the validity.

In summary, the **contributions** of this paper are:

- A new rule to determine when HPO can be simple;
- A demonstration that this new rule:
 - Fixes errors in a recent TSE paper [30].
 - Holds true for a wide-range of SE problems;
 - Can select for algorithms that are two orders of magnitude faster than standard state-of-the-art AI optimizers.
- A reproduction package so that other researchers can repeat/ improve/ refute our work. That package is based on two algorithms (LITE¹ and DEHB²), 24 data sets³, and an intrinsic dimensionality calculator⁴. All of the algorithms are short to code and implemented in Python

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1. <https://github.com/timm/ezr>

2. <https://github.com/automl/DEHB/>

3. <http://github.com/timm/moot>

4. https://github.com/XueqiYang/intrinsic_dimension

SE-data	Non-SE-data
<p><i>SS-Models (SS-B, SS-D, SS-M, SS-T, SS-U)</i>: All of the models with this nomenclature in this repository, including the rs-6d-c3-obj2 dataset were obtained from the software configuration literature [1]. The data was collected via running different software projects configured in different ways (selected at random) and then collecting different performance metrics (runtimes, cpu usage, etc.). The goal of these datasets is to find a configuration of the software that best optimizes the overall software goals for each specific project.</p> <p><i>POM3 (A,D)</i>: The POM3 model is a tool for exploring the management challenge of agile development balancing idlerates, completion rates and overall cost. More specifically:</p> <ul style="list-style-type: none"> • In the agile world, projects terminate after achieving a completion rate of $X\%$ ($X < 100$) of its required tasks; • Team members become idle if forced to wait for a yet-to-be finished task from other teams; • To lower the idle rate and improve the completion rate, management can hire staff, but this increases the overall cost. <p>The POM3 model simulates the Boehm and Turner model of agile programming, and has been used before in the literature [2]. In the models used in this study Pom3a is the simpler of the two</p> <p><i>XOMO (Flight, Ground, OSP, OSP2, nasa93dem)</i>: XOMO [3] introduced by Menzies et al. is a general framework for Monte Carlo simulations that combines four COCOMO-like software process models from Boehm’s group at the University of Southern California. The overall goals for XOMO are to:</p> <ul style="list-style-type: none"> • Reduce risk; • Reduce effort; • Reduce defects; • Reduce development time. <p>The available XOMO models here all come from NASA’s Jet Propulsion Laboratory. Where Flight and Ground are general descriptions of all JPL’s flight and ground software. While OSP and OSP2 are two versions of the flight guidance system of the Orbital Space Plane. In terms of complexity we know that nasa93dem is the simpler of the five, followed by OSP and OSP2, which are similar, then Ground being a bit more complex and finally Flight being the most complex of all.</p> <p><i>Health (Easy, Hard)</i>: The Health data sets show results where random forest regression algorithms were configured to predict for number of (a) commits or (b) closed issues or (c) close pull requests in 12 months time in open source projects housed at GitHub. The Y values of these data sets show the results of the predictions after certain hyperparameters were applied to the random forests (which, in turn, were applied to the GitHub data). This data was originally used in the nISNEAK [4] study in a hyperparameter optimization context.</p>	<p>For comparison purposes, our results from the above SE tasks are compared to the following non-SE problems.</p> <p><i>Wine Quality</i>: This is a useful data set of education purposes since it relates to a subject matter for which most people have at least some knowledge.</p> <p><i>Adult</i>: This dataset [5] is used to predict whether the annual income of an individual exceeds \$50 K / year based on census data. It is also commonly known as the “Census Income” dataset [6].</p> <p><i>Default</i>: This dataset [7] collects data related to customers’ default payments in Taiwan and is used to predict whether or not a certain customer will default on their payment in the following month [8].</p> <p><i>German Credit</i>: This dataset [9] classifies people described by a set of attributes as good or bad credit risks [8].</p> <p><i>Iris</i>: This dataset [10] is a classic non-Software Engineering dataset from 1936 used to classify flowers based on their external characteristics [11].</p> <p><i>Heart Disease</i>: This dataset [12] provides different health metrics of patients with the goal of predicting the presence of heart disease in a given patient [13].</p> <p><i>Diabetes</i>: This dataset [14] provides different health metrics of patients across time that can be used as a predictor for the presence or not of the disease in that patient [15].</p> <p><i>Bank Marketing</i>: This data set [16] is related with direct marketing campaigns (phone calls) of a Portuguese banking institution. The purpose of this data set is to predict whether a client will agree to a term deposit [17].</p> <p><i>Gamma Telescope</i>: This dataset [18] offers measures of cosmic events directed towards deciding whether the observed event is a signal or just background noise [19].</p> <p><i>Power Consumption</i>: This dataset [20] provides measurements of electric power consumption in a household with a one minute sampling rate over a period of almost 4 years. It can be used to predict sub-metering values [21].</p>

TABLE 1: The goal of this paper is to automatically tune a regression-based learner to make predictions about the numeric classes seen in this data. The SE-data (on the left) comes from recent SE papers. The Non-SE data (on the right) comes from the UCI machine learning repository [22] and is used in a large number of machine learning papers.

Dataset : Original Dimensions	
SS-B :	3
SS-D :	3
iris :	4
Health-Easy :	5
Health-Hard :	5
Power consumption :	6
rs-6d-c3-obj2 :	6
Pom3a :	9
pom3d :	9
Wine Quality :	10
Gamma telescope :	10
SS-T :	12
heart disease :	13
adult :	14
bank marketing :	16
SS-M :	16
german credit :	20
diabetes :	20
SS-U :	21
default :	23
nasa93dem :	25
Xomo (All) :	27
SCRUM :	128
FFM-250 :	250

TABLE 2: Table 1 data: number of raw dimensions R .

3 (hence, they are easily imported or readily ported to a new language).

2 INTRINSIC DIMENSIONALITY

The core idea of this paper is that, for SE data,

- Problems expressed in R raw attributes can be simplified to a smaller number of I intrinsic underlying dimensions.
- Tasks with $I \ll R$ can be solved very quickly.

This section argues for the first point (and the rest of the paper explores the second point).

2.1 Do We Always Need All That Data?

Centuries of research argues that data can be effectively reduced to a smaller set, without introducing errors into the analysis of that data. In 1901, Pearson argued [39] that equations involving many variables can often be effectively modeled using fewer variables derived from the eigenvectors of their correlation matrix. Such a “principal component analysis” can represent many dimensions using just a handful of components [40], [41].

There is much evidence for this “reduction” hypothesis. If a table of data has (say) $A = 20$ independent attributes, and each attribute has V states then for booleans (where $V = 2$), that table needs $V^A = 2^{20} > 1,000,000$ rows to cover all possible effects in that space. But a repeated

result for software analytics is that V^A is a massive over-estimation. Models built from $A > 20$ attributes can exhibit high recall, even if that model is trained from just a few hundred rows [42].

The only way to explain this is if some small subset a ($|a| \ll |A|$) of the attributes matter, and the rest can be ignored (e.g. they are either noisy or not associated with the target goal). Empirically this is indeed the case. Feature selection research for non-SE data [43], [44] shows that over half the attributes in tabular data can be removed, without lose of signal. For SE data, the reduction ration can be much higher. Pruning many rows and columns of SE data set often leads to better models [45]–[49]. The size of the pruning seen in SE data is startling. For example:

- Chen, Kocaguneli, Tu, Peters, and Xu et al. found they could predict for Github issue close time, effort estimation, and defect prediction, even after ignoring labels for 80%, 91%, 97%, 98% (respectively) of their rows [47]–[50].
- Later in this paper Figure 1 and Figure 3 show examples where data sets with dozens to hundreds of attributes can be reduced to half a dozen intrinsic dimensions, or less.

All the above are summarized as the “manifold assumption” [51]:

Real-world higher-dimensional data often lies on a low-dimensional manifold embedded within the high-dimensional space.

2.2 Calculating Intrinsic Dimensionality

To find the intrinsic dimensions, Agrawal et al. [30] use the fractal-based method of Algorithm 1. In summary, this method leverages the concept of a *fractal dimension*, which quantifies the complexity of a dataset by measuring how things changes with the scale of measurement. More specifically, the algorithm checks how many more rows can be found at distance R_x than R_y for $x < y$. For example:

- If the data lies on the ground all over a football, then that data lies in a two-dimensional space. Hence, an increase from R_x to R_y will find *polynomially* more examples.
- But if the data lies on a straight road that runs through the middle of the field, then that data is effectively one dimensional and an increase from R_x to R_y will only find *linearly* more examples.

Algorithm 1 returns the maximum gradient of a curve of R_x vs the log of the number of rows found at distance R_x . Agrawal et al. report that this algorithm correctly detects up to $I \leq 20$ intrinsic dimensions within spreadsheets with low-correlated columns⁵.

Algorithm 1 offers several advantages, including accuracy, robustness, and scalability. It provides a more accurate estimation of intrinsic dimensions compared to traditional methods [52] since it is less sensitive to noise and outliers in the dataset. Also, using some stochastic sub-sampling, it can be applied to large datasets efficiently. Its accuracy, robustness, and scalability make it a valuable tool for dimensionality reduction in various applications [53].

In a result supportive of the manifold assumption, Figure 1 shows Agrawal’s results for SE and non-SE data. For SE data they used data seen for recent SE analytics research

Algorithm 1 Calculating intrinsic dimensionality. From [30].

```

1: Import data from Testdata.py
2: Input: sample_num =  $n$ , sample_dim =  $d$ 
3:  $Rs\_log$  = start : end : step
4:  $Rs$  = exp( $Rs\_log$ )
5: for  $R$  in  $Rs$  do
6:    $I = 0$ 
7:   for  $(i, j)$  in combinations(data, 2) do
8:      $d$  = distance( $i, j$ )
9:     if  $d < R$  then
10:       $I = I + 1$ 
11:    $Cr = \frac{2I}{n(n-1)}$ 
12:    $Crs.append(Cr)$ 
13: for  $i$  in step do
14:   gradient =  $\frac{Crs[i] - Crs[i-1]}{Rs[i] - Rs[i-1]}$ 
15:    $GR.append(gradient)$ 
16: Smooth( $GR$ ) ▷ smooth the curve
17: intrinsicD  $\leftarrow$  max( $GR$ ) ▷ return the intrinsic dimensionality

```

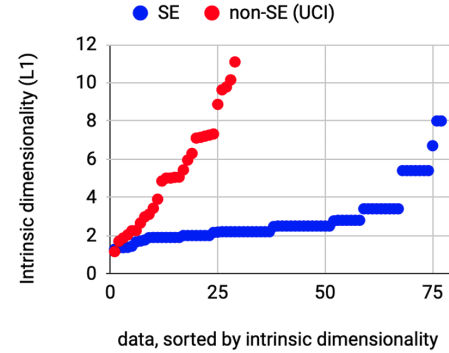


Fig. 1: Differences in the intrinsic dimensionality of SE and non-SE data. From [30].

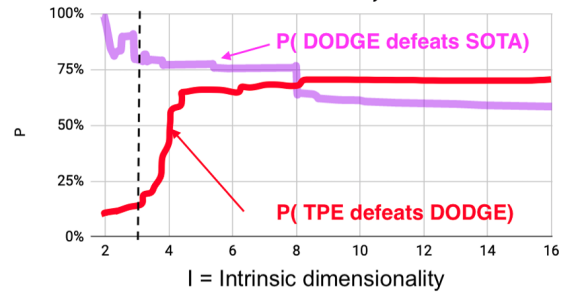
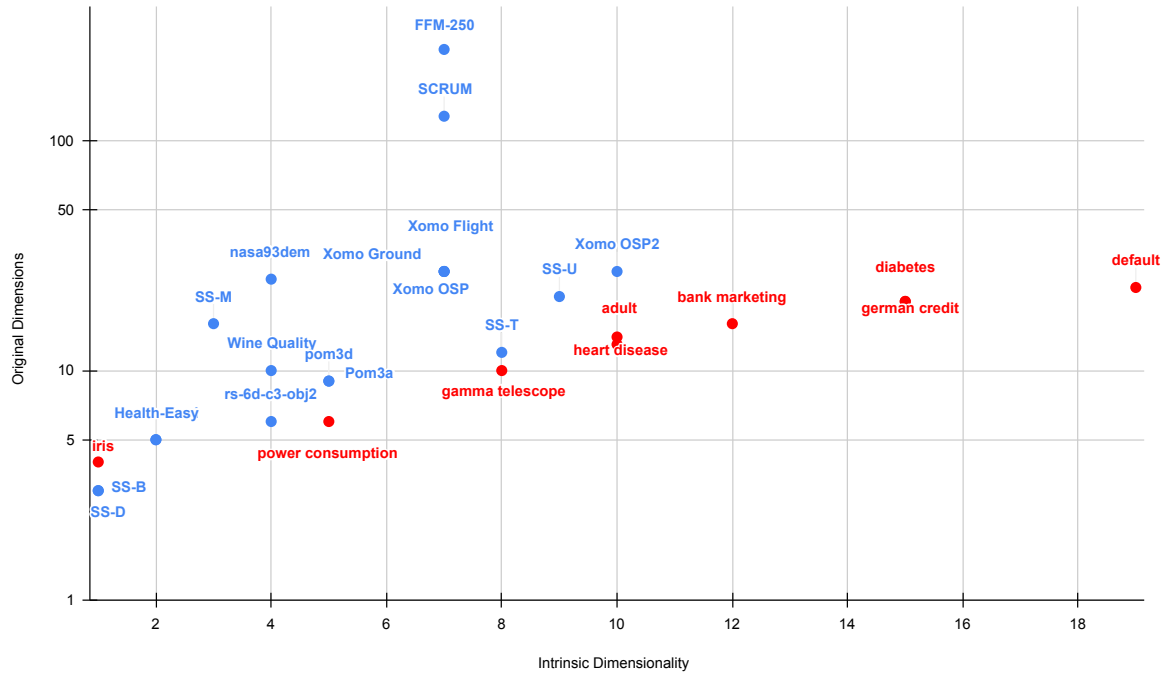


Fig. 2: According to Agrawal et al. [30] different algorithms work best at different intrinsic dimensionalities. Vertical dashed lines shows the median of the SE data from Figure 1.

publications. For non-SE data, Agrawal et al. used data sets from the UCI data mining repository [22]. This non-SE data has been widely applied in the machine learning community to certify their algorithms [22].

Figure 1 shows that Algorithm 1 reduces data down to 6 intrinsic dimensions (or less). More importantly, the manifold assumption seems to hold especially true for SE data since, as seen in Figure 1:

⁵ To make low-correlated columns, cells had random variables.



- The median SE intrinsic dimensionality is 3.1;
- The median non-SE intrinsic dimensionality is 5;
- That is, non-SE is nearly twice as complex as SE data.

2.3 Implications of Low Intrinsic Dimensionality

Does it matter than SE and non-SE data have different intrinsic dimensionalities? Agrawal et al. argued this difference lets them recommend when to use simpler, or more complex, hyperparameter optimizers. They were advocating a simple tabu search optimizer called DODGE⁶ which they were comparing against a 2012 optimizer called HYPEROPT/TPE (tree of parzen estimators) [54]. Their results are shown in Figure 2. Note that different hyperparameter optimizers work best for different intrinsic dimensionalities. In that figure, for intrinsic dimensionalities less than 4, their simpler tabu method was more likely to defeat TPE in more than half the datasets. We define *Agrawal’s threshold* as:

$$I \leq 4 \quad (2)$$

2.4 Issues with the Agrawal Threshold

For many reasons, the Agrawal threshold must now be revisited and revised.

Firstly, the HYPEROPT/TPE algorithm used in the Agrawal study was proposed in 2012. It is no longer state of the art. This paper must repeat the Agrawal et al. analysis, but with more recent algorithms.

Secondly, in the Agrawal et al. data sample, the right-hand-side of Figure 2 was very under-populated. This is to say that their result could have been a conflation of most their data falling to the left of that figure. This paper will study more data at higher intrinsic dimensionalities.

Thirdly, Figure 3 shows the original raw dimensions and the intrinsic dimensionality of the Table 1 data. Looking at the locations of the SE and non-SE data, it is clear that Agrawal’s threshold of $I < 4$ fails to separate the SE from non-SE data. On the other hand, as seen in Figure 4, Equation 1 is far better at distinguishing these two types of data.

(This separation is important. As shown below, very different algorithms work best for these separated groups.)

Fourthly, and most importantly, it turns out that Agrawal’s rule gives bad advice. In our data there are 18 data sets with $I > 4$; i.e. which Agrawal would advise “use a complex optimizer”. But in that space of 18 data,sets we can find 10 with $DRR > \frac{1}{3}$ which (as shown by the results later in this paper) can be optimized very simply⁷. To say that another, Agrawal’s rule is incorrect over half the time ($\frac{10}{18} = 55\%$).

The rest of this paper expands on this last point. The next section describes an experiment checking if state of the art AI methods are needlessly computationally expensive in the region $DRR > \frac{1}{3}$.

6. Given the input settings S_i to a hyperparameter optimizer, and a resulting output performance score P_i , then if P_i is similar to an older score P_j , then DODGE depreciated settings near S_j .

7. Specifically, 30 quick samples will result in optimizations as good as slower methods that require 3000 samples.

3 METHODS

3.1 Research Questions

The methods of this paper aim to answer two questions:

- **RQ1:** is SE and non-SE data different?
- **RQ2:** does that difference select for better HPO?

3.2 Data

To answer these questions, we study the data shown in Table 1. These data sets have a range of independent values seen in Table 2. The intrinsic dimensionality of those data sets are shown on the x-axis of Figure 4.

3.3 Algorithms

This paper applies *hyperparameter optimization* (HPO) to the control parameters of an *ensemble learner* in order to build better predictors for the dependent attributes in Table 1. This section described ensemble learning, and the HPO methods that might improve them.

3.3.1 Ensemble Learners

Ensemble learning trains multiple learners on somewhat different subset of the data. This ensemble approach to learning often achieve higher predictive performance than individual models by aggregating predictions from diverse learners [55] [56]. This improvement is particularly significant in complex tasks where single models may struggle. Also, by combining multiple models, ensemble learning helps mitigate overfitting, leading to better generalization on unseen data [57] [58]. Further, ensemble techniques increase model robustness by reducing the impact of noise and outliers in the data [59]. This is useful in applications where data quality may vary.

Random forests are ensembles composed of ensembles of multiple decision trees, each of which is trained on a randomly selected \sqrt{A} sample of the attributes A . Each tree in the ensemble makes a prediction and the final prediction is some averaging of all the votes.

This study uses scikit-learn’s random forest regressors and random forest classifiers [60]. These make predictions using the mean and mode (respectively) of their leaf nodes.

To use these random forests, we will ask our HPOs to make decisions about the following:

- *n_estimators*: number of trees in the forest. Varied from 1 to 200 (in steps of 10).
- *criterion*: The *error estimator* : when building the tree, how to to measure split quality. Options are squared_error, absolute_error, friedman_mse, and poisson.
- *min_samples_leaf*: the minimum number of samples required to be at a leaf node. Varied from 1 to 20.
- *min_impurity_decrease*: A secondary stopping criteria used by random forest. Varies from 1 to 10 (in steps of 0.25)
- *max_depth*: Max depth of tree. Varied from 1 to 20.

In all, this list mentions $20 * 4 * 20 * 40 * 20 = 1,280,000$ different possible configurations.

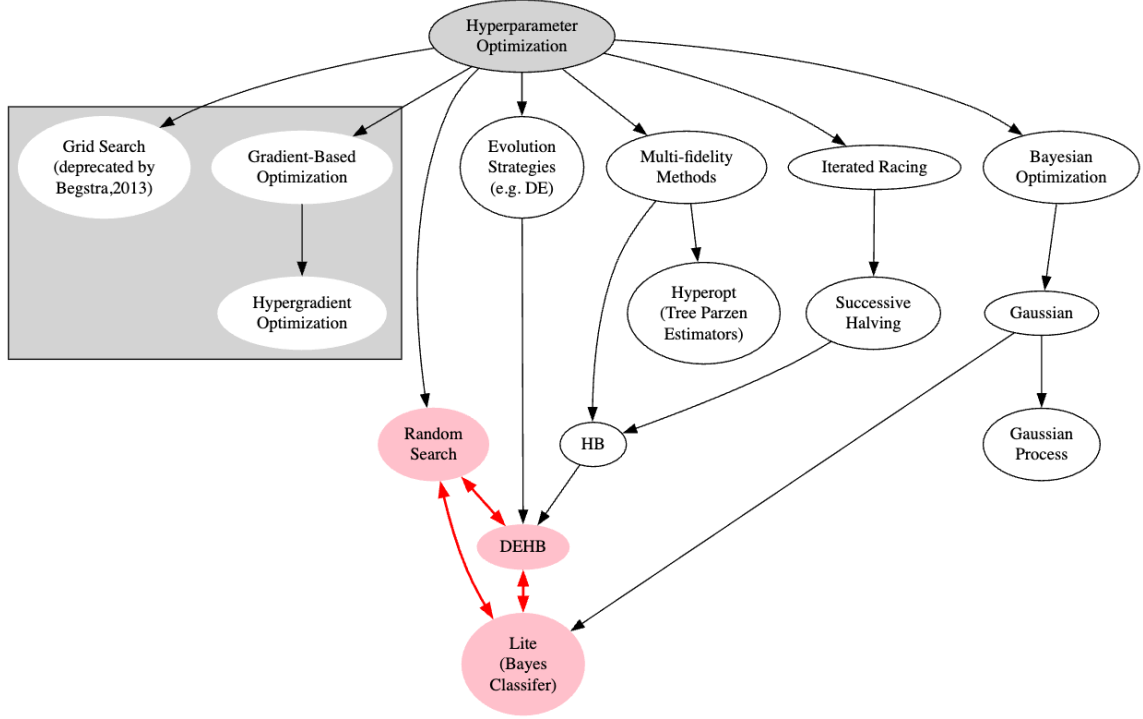


Fig. 5: A range of hyperparameter optimization methods. From [37]. This paper compares the methods shown in red.

3.3.2 Hyperparameter Optimizers

The goal of hyperparameter optimization is to explore tables of configuration options containing:

- X columns containing configuration settings and
- the Y columns containing the performance scores seen after running one configuration option.

We assume the existence of function F such that

$$Y = F(X)$$

but we have no direct access to that function.

In this notation, hyperparameter optimization is the selection of some configuration option c_i for a space of possible configurations C . These configurations control all the choices within a learner that returns the model F . For example, the end of the last section offered multiple choices for random forests. The performance score F for the predictions made when a learner used that configuration. This score is computed by observing the performance of

$$Y = F(c_i, X)$$

Figure 5 shows a range of HPO approaches. For reasons explained below, we ignore the boxed methods (shown on the left) and focus on the methods highlighted in red.

Grid Search: The slowest way to perform HPO is *grid search*, which systematically evaluates every possible configuration. Bergstra et al. [54] warn strongly against such grid searches. They note that different learners/data sets need different grid sizes. A grid small enough to catch all nuances in all learners and data sets would be impractically slow to run. In our case, assuming 0.5 seconds to try every configuration (which is our average observed time) and 20 repeated trials (for statistical validity), then our 1.2 millions options need over 19 weeks of CPU time. All these tests

would be independent so they could be parallelized to run in the space of a single eight-hour work day (assuming 25 machines with 16 cores). However, and this is our main point, why incur that cost when simpler options are available? This is an important question. When we talk to our industrial colleagues, they point out that reducing the cost of their cloud compute facilities is an increasing urgent problem. For all these reasons, we seek alternatives to grid search.

Gradient-based Optimization: If exhaustive search is too expensive, a more informed approach might be to study how variables change over time. *Gradient-based optimization techniques*, such as those used in neural network training, adjust hyperparameters by following the gradient of the loss function with respect to the hyperparameters [61]. These methods are highly effective for continuous hyperparameter spaces and are widely adopted in deep learning. Examples include stochastic gradient descent (SGD) and Adaptive Moment Estimation (the ADAM optimizer) [62], [63]. However, these techniques are not typically used in random forests, which are tree-based, non-parametric methods that do not rely on gradients.

Random search: A simple, fast, but potentially incomplete HPO method is *random search* [64], where N random configurations are sampled and evaluated. Random search has been successfully applied in SE, particularly for optimizing models in defect prediction [33]. Simple random search is often defeated by *active learning* (discussed below) that leverages the results of each trial to guide subsequent selections.

Evolutionary methods: Evolutionary methods apply notions from biology to optimization. *Populations* of randomly generated candidates are *mutated* (i.e. changed by a small

amount). Better candidates are *selected* for *cross-over* where multiple candidates are combined to create the next *generation* of candidates. Traditional Holland-style mutation [65] is computationally expensive since it mutates $n = 100$ candidates for $G = 100$ generations (i.e. 10^4 evaluations in all). Storn-style mutation [66], as seen in *differential evolution* (DE) needs far fewer evaluations. DE starts like random search and creates a small initial population of randomly selected configuration options (say, 10 vectors of options per feature being configured).

- For each generation, each option is compared to a newly created option that is a mixture of three other options drawn from the population. The new option replaces the old, when it has a better performance score.
- After generation#1, the invariant of DE is that each option in a population in generation g is superior to at least $g - 1$ other options. Hence, as the algorithm run, it build new items from increasing superior options.

DE has been widely applied [67]⁸. Within software engineering, DE has been used for optimization tasks such as Fu et al. [68] tuning study on defect prediction; Shu et al.’s study on tuning detectors for security issues [69], and Xia et al.’s study that tuned project health predictors for open-source JAVA systems [34].

Multi-Fidelity Methods do not dictate the optimization method *per se* but offer a meta-principle for their organization. As such they can be an umbrella technique for controlling other methods. For example for neural networks, *coarse-fine evolution* is a multi-fidelity methods [70] that applies low-fidelity methods before exploring more expensive ones. For another example, *successive halving* is a multi-fidelity method introduced by Li et al. [23] in their 2018 Hyperband (HB) algorithm. This approach employs a novel bandit strategy by simultaneously running multiple configurations with varying resource allocations, such as different numbers of training epochs. Resources are allocated by progressively eliminating poorly performing configurations. It starts with many candidates, giving each a small budget (e.g., a few training epochs or a dataset subset), evaluates their performance, and discards the worst-performing half at each iteration while doubling the budget for the remaining ones.

Iterated Racing: This kind of algorithm iteratively evaluate and compare a set of candidate solutions (or configurations) while discarding under-performing ones. Successive halving combines multi-fidelity methods with iterated racing. Other examples of iterated racing include the irace method [71] of López-Ibáñez et al. Irace implements the Iterated F-race algorithm for automatic algorithm configuration. which iteratively evaluates and refines candidate configurations to efficiently determine optimal parameter settings. Classic iterated racing reflects on the rankings of difference methods. Successive halving, on the other hand, also considers the resources required to collect information about each configuration.

Bayesian Optimization: is an optimization technique used when function evaluations are costly, such as in hyperparameter tuning, experimental design, or engineering simulations [72].

These methods build a *surrogate model* which can very quickly guess the likely outcome of an evaluation [73]. Once a surrogate model is available then the model built so far can be used to guess what example should be studied next. This technique is called *active learning* [74]. This is useful since when learners choose their own training data, they often build better models with fewer labeled examples [74].

One common choice for surrogates are *Gaussian Process Models* (GPMs) which estimate the mean and variance of predictions for unlabeled data. GPMs fits numerous diverse functions to existing labeled data so their computational cost grows with data size, limiting scalability [75].

A faster approach is Tree of Parzen Estimations (TPE) by Bergstra et al. [54] as implemented in the Python’s Hyperopt package⁹. Hyperopt/TPE sorts labeled configurations, splits it at some engineer-defined threshold, then builds surrogate models for the “best” and “rest” subsets. For that modeling, it uses a Parzen Estimator kernel density estimator.

Faster yet again than Hyperopt/TPE is LITE [76], a TPE method that uses a Bayes classifier to model “best”, “rest”:

- 1) Given M evaluated configurations and N as-yet-unexamined configurations, LITE sorts M into \sqrt{M} “best” examples and the remaining “rest” examples.
- 2) These sets train a two-class classifier that reports b, r ; i.e. the likelihood of an example being “best” or “rest”.
- 3) The resulting likelihoods are used by an *acquisition function* to select from N the configuration to run next.
- 4) This results in $M + 1$ labelled examples and $N - 1$ unlabeled examples. Each new labeling decrements the labeling budget B ,
- 5) While $B > 0$, repeat from step #1.

On termination, LITE returns the best labelled example seen so far using the measures on §3.5. For its acquisition function, given a budget of B evaluations LITE evaluates the configuration from N that maximizes:

$$\frac{b + rq}{abs(bq - r + \epsilon)}$$

where ϵ is a very small constant (that avoids divide-by-zero errors) and

$$q = \begin{cases} 0 & \text{if } \textit{exploiting} \\ 1 & \text{if } \textit{exploring} \\ 1 - \frac{M}{B} & \text{if } \textit{adapting} \end{cases} \quad (3)$$

Here, *explore*, *exploit*, *adapt* are different search strategies for acquiring new information. When labelled examples are very scarce, it can be best to *explore* regions where oracles are declaring opposite ideas, but with similar weights. Once some more data has arrived, it can be better to switch to *exploiting* that knowledge and just jump to where there are strongest indications of most “best” and least “rest”. Finally, “adapt” is an function which, as more M labelled examples M arrive, the acquisition strategy slides from *explore* to *exploit*.

DEHB: DEHB extends Hyperband by running multiple sequential halving brackets with different starting budgets, balancing exploration and exploitation. Within each budget level, DEHB uses DE to generate and evolve configurations, maintaining separate subpopulations for each fidelity level.

8. At the core of this writing (Feb 2025), the original DE paper [66] has over 37,000 citations (in Google Scholar).

9. <https://github.com/hyperopt/hyperopt/>

This allows DE evolution to run independently at each budget while enabling information flow from lower to higher budget subpopulations through a modified DE mutation strategy. The first iteration of DEHB resembles vanilla Hyperband, using random search to initialize the lowest fidelity subpopulation. In subsequent iterations, DEHB reuses and evolves subpopulations from previous brackets, eliminating the need for random sampling.

Our reading of the literature is that, within the convention AI literature, DEHB [27] is arguable the current state-of-the-art in combining evolutionary methods (using DE’s differential evolution), multi-fidelity methods (using HB’s Hyperband) and iterated racing (using the sequential halving). DEHB out-performs its predecessor (BOHB [27]) (and BOHB is known to out-perform Hyperopt [28]).

3.4 Experimental Rig

To established statistical credence, this rig is run 20 times with different random seeds.

Each run optimizes a random forest performing regression (or classification) for a single dependent variable in each of the dataset. The selected dependent was always the first available in each dataset (and, for this analysis, all other dependents are excluded from the data).

From the algorithms in the last section, we select three for comparison purposes:

- DEHB, since it uses a range of state-of-the-art methods (evolutionary methods, multi-fidelity methods, iterated racing).
- Random search, since it is good practice to compare stochastic methods with a completely random baseline¹⁰.
- LITE, since, of the above, it is the fastest and uses the fewest samples. For Equation 3, we use $q = 1$ since that was recommended by the original LITE paper.

For random and LITE, we allow up to 30 evaluations. For DEHB we allow up to 3000 evaluations. These budgets are those recommended in the LITE and DEHB papers.

DEHB, with 3000 evaluations, will serve as our exemplar heavyweight method.

LITE, with 30 evaluations, will serve as our exemplar lightweight method.

For each of these data sets in Table 1, we started with 10,000 randomly selected random forest hyperparameter configurations. LITE will explore up to 30 of these (selected at random). DEHB, on the other hand, would sample 100 (at random) then go on to evolve its own set of preferred configurations.

3.5 Evaluation Metrics

When each algorithm was run on the Table 1 data, we collected the following evaluation metrics. We selected these since these are widely seen in the literature [4], [77]–[79].

Classification and regression problems need different evaluation criteria. For classification, if A, B, C, D denote the true negatives, false negatives, false positives, and true positives (respectively), then:

$$\begin{aligned} accuracy &= (A + D)/(A + B + C + D) \\ r = recall &= D/(B + D) \\ p = precision &= D/(C + D) \\ F1 &= 2rp/(r + p) \end{aligned}$$

For regression, MRE (magnitude of relative error) is defined as follows:

$$MRE = abs(actual - prediction)/actual \quad (4)$$

MRE measures how far away is predicted and actual. PRED40, on the other hand, reports on how often a regression prediction falls close the actual. PRED40 was recommended by Sarro [78] and is defined as:

$$Pred40 = Count(MREs \leq 40)/||MREs|| \quad (5)$$

Shepperd and MacDonell [79] argue that regression measures like the above lack contextual information. Hence they propose Standardized Accuracy (SA) which baselines error measures of some algorithm against the errors seen when applying the simplest reasonable estimator (in our case, mean value of the known actuals). SA is based the Mean Absolute Error (MAE) and is defined as:

$$MAE = \frac{1}{N} \sum_{i=1}^n |prediction_i - actual_i| \quad (6)$$

Here, N is the size of the test set used for evaluating the model performance. SA is then defined as the ratio:

$$SA = (1 - (MAE/MAE_{dumbPrediction})) \times 100 \quad (7)$$

and $MAE_{dumbPrediction}$ is the MAE of a set of guesses.

As per Lustosa et al.’s 2024 TOSEM paper [4], the above values are combined into a composite metric $d2h$ (distance to heaven). To explain $d2h$, first we need to explain the Zitzler multi-objective indicator [80]. Zitzler favors example B over A if jumping from B to A loses more than jumping from A to B :

- Let $worse(A, B) = loss(A, B) > loss(B, A)$
- Let $loss(A, B) = \sum_{j=1}^n -e^{\Delta(j, A, B, n)}/n$
- Let $\Delta(j, A, B, n) = w_j(o_{j, A} - o_{j, B})/n$

Here $w_i \in \{-1, 1\}$ records in we are minimizing or maximizing goal o_j (respectively).

- In regression, we seek to *maximize* Pred40 and SA and *minimize* MRE so there o_j values are $\{1, -1, -1\}$ respectively.
- In classification, we seek to *maximize* accuracy, precision, recall, and F1 so o_j values are $\{1, 1, 1, 1\}$ respectively.

Finally in order to summarize all of these metrics into one, $d2h$ takes all evaluated examples from all techniques and asks how close is each example to the best available in the set. It can be defined as:

$$D2H_s^i = i/|Z| \quad (8)$$

where Z is a vector containing all options ranked from best to worst according to Zitzler and i is the index of a sample s in that vector.

10. <https://github.com/acmsigsoft/EmpiricalStandards/blob/master/docs/standards/OptimizationStudies.md#desirable>

3.6 Statistical Methods

In our study, we report median and interquartile ranges (which show 50th percentile and 75th-25th percentile), of the D2H metric for each algorithm on each dataset. We collect median and interquartile range values for each of the datasets.

To make comparisons among all algorithms on a single dataset, we implement the Scott-Knott analysis [81]. In summary, by using Scott-Knott, algorithms are sorted by their performance. After that, they are assigned to different ranks if the performance of the algorithm at position i is significantly different to the algorithm at position $i - 1$.

To be more precise, Scott-Knott sorts the list of experiments (in this paper, LITE, Baseline and DEHB for each of the 16 datasets) by their median score. After the sorting, it then splits the list into two sub-lists. The goal for such a split is to maximize the expected value of differences in the observed performances before and after division [82]. Scott-Knott analysis then declares one of these divisions to be the best split. The best split should maximize the difference $E(\Delta)$ in the expected mean value before and after the split:

$$E(\Delta) = \frac{|l_1|}{|l|} \text{abs}(\bar{l}_1 - \bar{l})^2 + \frac{|l_2|}{|l|} \text{abs}(\bar{l}_2 - \bar{l})^2 \quad (9)$$

where:

- $|l|$, $|l_1|$, and $|l_2|$: Size of list l , l_1 , and l_2 .
- \bar{l} , \bar{l}_1 , and \bar{l}_2 : Mean value of list l , l_1 , and l_2 .

After the best split is declared by the formula above, Scott-Knott then implements some statistical hypothesis tests to check whether the division is useful or not. Here “useful” means l_1 and l_2 differ significantly by applying hypothesis test H . If the division is checked as a useful split, the Scott-Knott analysis will then run recursively on each half of the best split until no division can be made. In our study, hypothesis test H is the cliff’s delta non-parametric effect size measure. Cliff’s delta quantifies the number of differences between two lists of observations beyond p-values interpolation [83]. The division passes the hypothesis test if it is not a “small” effect ($\Delta \geq 0.147$).

The cliff’s delta non-parametric effect size test explores two lists A and B with size $|A|$ and $|B|$:

$$\Delta = \frac{\sum_{x \in A} \sum_{y \in B} \begin{cases} +1, & \text{if } x > y \\ -1, & \text{if } x < y \\ 0, & \text{if } x = y \end{cases}}{|A||B|} \quad (10)$$

In Equation 10, cliff’s delta estimates the probability that a value in list A is greater than a value in list B , minus the reverse probability [83]. This hypothesis test and its effect size is supported by Hess and Kromery [84].

4 RESULTS

4.1 RQ1: is SE and non-SE data different?

Prior work, based on the Agrawal threshold Equation 2, argued that this is indeed the case (see Agrawal et al. [30]). However, that study had preponderance of low dimensional data. Recall from §2.4 that when we revisited that conclusion, Figure 3 showed many cases where Equation 2 would incorrectly select for “hard” data sets half the time ($\frac{10}{18} = 55\%$). Hence, we cannot endorse Equation 2.

That said, when we (a) extend the data analysis to higher dimensional data; and (b) replace Equation 2 with Equation 1, we find ourselves in general agreement with Agrawal. Figure 3 shows that, for at least in the sample tabular data studied here:

Answer1: SE data is usually has higher DRRs (i.e. a lower ratio of intrinsic dimensions) than non-SE data.

4.2 RQ2: Does that difference select for better HPO?

To answer this question, we need to look at the median distances to heaven achieved by our different methods.

Figure 6.A shows median $D2H$ for SE data:

- The upper curve shows the baseline of the the untreated data (expressed as median $D2H$ scores)
 - Below that, the other curves fall on top of each other.
 - After applying the statistics of §3.6, we can confirm the visual impression that the performance of these lower curves are indistinguishable.
 - That is, for this data, a state-of-the-art AI optimizer (DEHB) that need 3000 evaluations does no better than a very lightweight optimizer (LITE) using 30 evaluations.
- On the other hand, Figure 7.A shows non-SE data:
- As before, the upper curve shows the baseline (median $D2H$ of the the untreated data.
 - Below that, we see other curves with more visual separation than before.
 - The treatment with the lowest curve (i.e. closest to heaven) is the treatment that uses 3000 evaluations (DEHB).
 - That is, for this data, it is not enough to merely do 30 evaluations.

Figure 6.C and Figure 7.C comment on the runtime cost of running 30 versus 3000 evaluations. In both curves, the LITE method runs two orders of magnitude faster (a few seconds as opposed to 20 minutes, or more).

Recalling Figure 3, nearly all the SE data ($\frac{16}{18} = 89\%$) has the higher DRR values. Hence we say:

Answer2: By separating data on DRR, we can find problems that can be solved effectively, two orders of magnitude faster (than if we had use state-of-the-art AI HPO algorithms).

4.3 Exceptional Cases

Figure 4 illustrates a few exceptions to the rule that “SE data is simpler”:

- 1) “Iris” is a non-SE data set that appears high-up in Figure 4. This data set has a DRR of 0.75.
- 2) “SS-T” and “rs-6d-cs-obj2” are two SE data sets with a DRR under $\frac{1}{3}$.

So it is not true that, always, SE data has a lower ratio of intrinsic dimensions.

That said, when look closely at our results we see

- In Figure 7.A, all the treatments for “Iris” produce very similar results.
- From the statistical analysis from the results in Figure 6 we can see that for the datasets “SS-T” and “rs-6d-cs-obj2”

although there is no statistical difference between LITE and DEHB, which would normally give the advantage to LITE, the numerical gain observed in DEHB is much larger than in other indistinguishable dataset, that paired with the fact that these datasets are very close to our suggested threshold indicates that along that threshold it might be worthwhile to try both complex and simple algorithms in search of better performance.

So while there is occasional overlap in the ration of intrinsic dimensions in SE and and non-SE data, Equation 1 still predicts for what data sets can be solved via very simple methods.

5 DISCUSSION

5.1 Frequently Asked Questions

When discussing this work with colleagues, we are often asked the following.

FAQ0: Can Equation 1 simplify all SE analytics? No. Generation tools need the complexities of LLMs. Also, the certification requirements of safety-critical software is not a simple process. That said, we do show here that better and faster results can be obtained selecting algorithms via intrinsic problem complexity. This is an important message since, to our shame, SE researchers rarely benchmark complex methods against simpler approaches¹¹.

FAQ1: Why does DRR matter? DRR divides data into:

- One group where many attributes have to be explored;
- And another group where many attributes are superfluous and can be ignored.

Complex AI algorithms are needed to explore data sets where most of the attributes are important. Otherwise, in SE data, simpler and faster methods may suffice.

FAQ2: Why so many superfluous attributes in SE data?

Software construction is a complex combination of tasks. Data collected from software projects is hence a report of many things, not all of which are relevant to particular goals. Hence, we should expect that many attributes from SE data can be ignored.

FAQ3: Why has Equation 1 not been previously reported in the AI literature? Most of our SE data sets ($\frac{16}{18} = 89\%$) have high DRRs but most of our AI data sets have much lower DRRs. Hence, AI researchers have missed our result since they were studying different data.

This observation has a methodological implication. A common practice in SE analytics is to use AI algorithms off-the-shelf. The results of this paper suggest that this practice needs to be deprecated. Other researchers agree:

- Novielli et al. report that sentiment analysis tools perform much better for SE problems when they are specifically trained on SE data [93].
- Binkley et al. [94] warn that off-the-shelf information retrieval tools need to be significantly adjusted before they are applied to SE applications.

FAQ4: When Equation 1 holds, we can recommend something simpler than LITE? Figure 6.A reports that shows random selection (of 30 examples) performing just

Figure 6.A: D2H median (50th percentile). Lower is better.

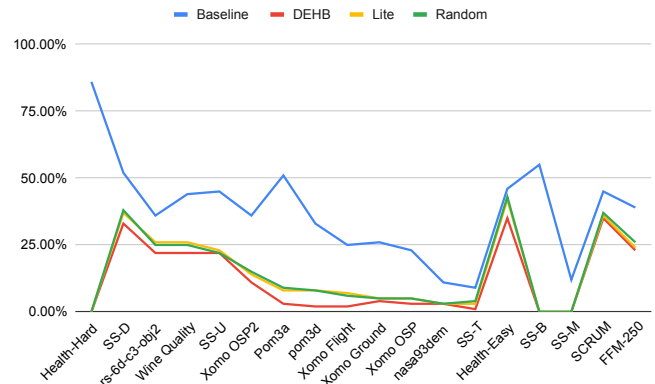


Figure 6.B: D2H IQR ((75th -25th) percentile). Lower is better.

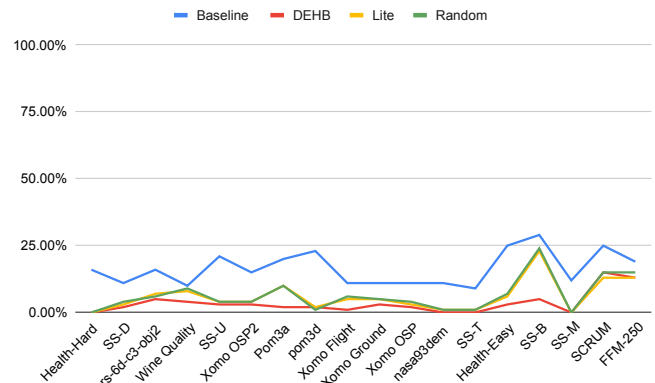


Figure 6.C: mean runtimes (seconds). Lower is better.

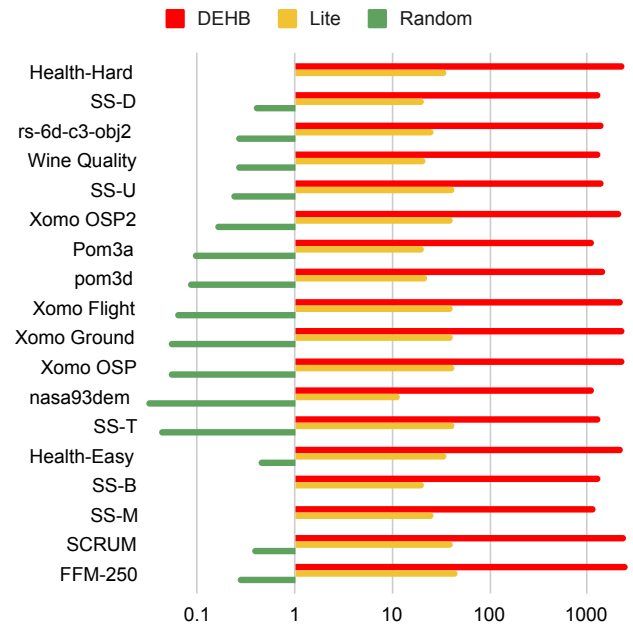


Fig. 6: SE data, results from 20 runs.

11. For example, in a recent systematic review [85] of 229 SE papers using large language models (LLMs), only 13/229 $\approx 5\%$ of those papers compared LLMs to other approaches even though other methods can produce results that are better and/or faster [86]–[92].

Figure 7.A: D2H median (50th percentile). Lower is better.

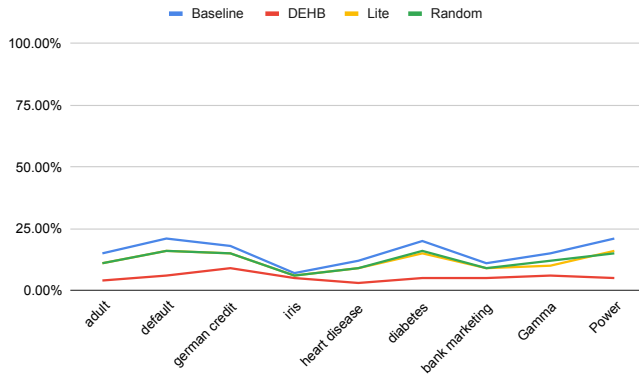


Figure 7.B: D2H IQR ((75th -25th) percentile). Lower is better.

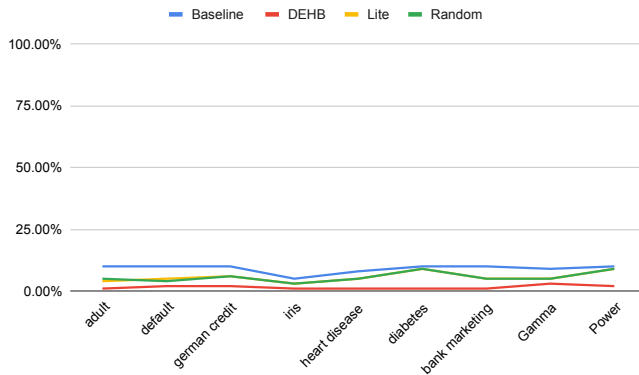


Figure 7.C: mean runtimes (seconds). Lower is better.

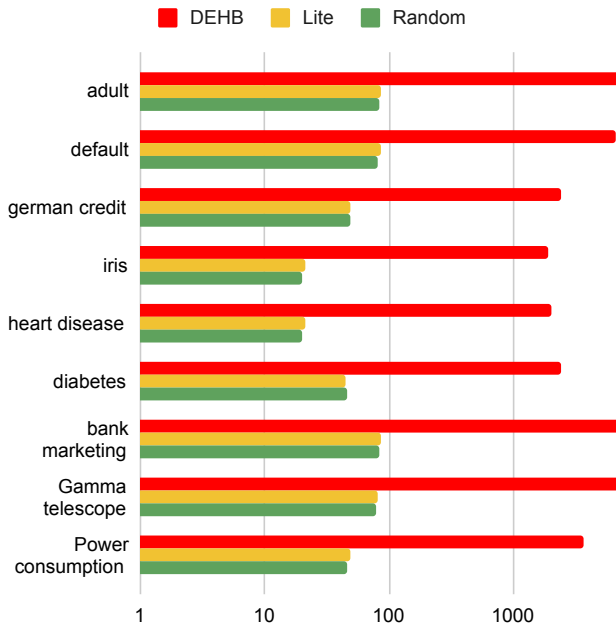


Fig. 7: Non-SE data, results from 20 runs.

as well as LITE on the SE data. Nevertheless, we would still endorse LITE over random. Firstly, while random is simpler than LITE, LITE is hardly a complex algorithm (evidence: see its 30 line implementation¹²). Secondly, it we look into how random would be applied in practice, then “random” starts looking a lot like LITE:

- If new data arises, random search would require 30 more labels to handle that data while LITE could just reuse its existing model (i.e. zero more labels).
- On the other hand, the random selection results from the old data could be used to build a classifier (for best and rest) and that classifier could be used (without further labels) to predict for the new data.
- However, if anyone asks for validation results from that classifier, statistics would have to be collected over the old data. If it was suggested to collect those statistics incrementally during label collection over the old data, then this random-plus-classifier approach would be almost the same as LITE

5.2 Threats to Validity

As with any empirical study, different biases can threaten the final results. Therefore, any conclusions born from this work must be considered with the following concerns in mind.

Parameter Bias: In terms of parameter bias, the LITE algorithm uses one of many proposed acquisition functions towards active learning. There are other options of activation function that may still be explored and that may outperform this current version of LITE. DEHB was also ran with default settings as suggested in their previous work [27], there may yet be other settings that can perform better than LITE in these problems.

Sampling Bias: As with any empirical study, sampling bias refers to the case studies present in this work. Although we have selected 24 datasets representatives of different important problems, we cannot guarantee that the results achieved here are applicable for all other datasets dealing with these problems.

Algorithm Bias: We have only selected DEHB as a baseline, our reasoning behind this is the absolute supremacy this algorithm achieved in the field of hyperparameter optimization when compared to the remaining state-of-the-art algorithms. There are multiple studies that compare DEHB to other state-of-the-art algorithms or use DEHB in problems similar to those tackled here. However, this does not guarantee that there is not a better suited algorithm that could outperform both LITE and DEHB in the case studies provided in this work.

5.3 Future Work

Our findings open several avenues for further research. First, integrating DRR estimation into automated optimization pipelines could lead to adaptive algorithm selection in real-time.

Second, expanding our dataset collection to include industrial SE projects would help validate whether the

12. <https://github.com/timm/ezr/blob/main/ezr.py#L518C1-L548C68>

observed trends hold beyond controlled benchmarks. This paper has explored the optimization of regression for 24 SE data sets. Future work should explore more data and more tasks.

Thirdly, refining the DRR measurement techniques could improve the accuracy of complexity estimation, reducing reliance on fixed thresholds like Agrawal's (Equation 2).

Fourthly, and perhaps more importantly, we have shown that under certain circumstances (see Equation 1), some SE tasks can be solved very simply. Hence it may be useful to revisit decades of SE analytics to find those tasks that seemed to be very slow, but can now be solved very simply.

6 CONCLUSION

This study challenges the conventional wisdom that complex AI-based optimizers are always necessary for software engineering (SE) problems. By analyzing intrinsic dimensionality (ID) and dimensionality reduction ratios (DRR), we have shown that many SE datasets contain redundant features that allow for simpler, faster optimization methods. Our results suggest that instead of defaulting to computationally expensive techniques, researchers and practitioners should first assess dataset complexity using DRR before selecting an optimization approach.

Through extensive experiments across multiple SE and AI datasets, we find that traditional hyperparameter optimization strategies can often be replaced by lightweight heuristics like LITE and Successive Halving without loss of effectiveness. This result highlights the importance of problem-aware optimization, where the selection of methods should be guided by underlying dataset properties rather than generic benchmarking.

The broader implication of our work is a call for greater scrutiny in SE research methodology. Many recent studies rely on large, black-box AI models without adequately comparing them to simpler alternatives. As demonstrated in our analysis, in cases where DRR is high, complex models may add unnecessary overhead while failing to provide significant performance improvements. Future work should focus on developing adaptive frameworks that automatically tailor optimization techniques based on dataset complexity.

CONFLICT OF INTEREST STATEMENT

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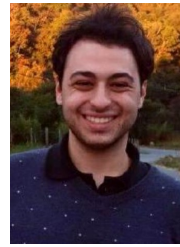
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