

Direct Solver used in NHN2022

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1 Discretization of Equation (S3)

Equation (S3) in NH2018 reads

$$\frac{\partial}{\partial \mu} \left[\frac{1}{2\Omega\mu} \frac{\partial}{\partial \mu} (u_{\text{REF}} \cos \phi) \right] + \frac{2\Omega a^2 H \mu}{R(1-\mu^2)} e^{z/H} \frac{\partial}{\partial z} \left[\frac{e^{(\kappa-1)z/H}}{\frac{\partial \tilde{\theta}}{\partial z}} \frac{\partial}{\partial z} u_{\text{REF}} \cos \phi \right] = -a \frac{\partial}{\partial \mu} \left(\frac{q_{\text{REF}}}{2\Omega\mu} \right) \quad (1)$$

where $\mu \equiv \sin \phi$. Changing the variable from μ to $\sin \phi$ and with the substitutions $\tilde{u} \equiv u_{\text{REF}} \cos \phi$ and $\tilde{q} \equiv \frac{q_{\text{REF}}}{\sin \phi}$, equation (1) becomes

$$\frac{\partial}{\partial \phi} \left[\frac{1}{\sin \phi \cos \phi} \frac{\partial \tilde{u}}{\partial \phi} \right] + \frac{4\Omega^2 a^2 H \sin \phi}{R \cos \phi} e^{z/H} \frac{\partial}{\partial z} \left[\frac{e^{(\kappa-1)z/H}}{\frac{\partial \tilde{\theta}}{\partial z}} \frac{\partial \tilde{u}}{\partial z} \right] = -a \frac{\partial \tilde{q}}{\partial \phi} \quad (2)$$

Discretizing each term in equation (2) on the uniform ϕ (index j) and z (index k) grids:

$$\begin{aligned} \frac{\partial}{\partial \phi} \left[\frac{1}{\sin \phi \cos \phi} \frac{\partial \tilde{u}}{\partial \phi} \right] &\approx \frac{1}{(\Delta \phi)^2} \left[\frac{\tilde{u}_{j+1,k} - \tilde{u}_{j,k}}{\sin \phi_{j+1/2} \cos \phi_{j+1/2}} - \frac{\tilde{u}_{j,k} - \tilde{u}_{j-1,k}}{\sin \phi_{j-1/2} \cos \phi_{j-1/2}} \right], \\ \frac{\partial}{\partial z} \left[\frac{e^{(\kappa-1)z/H}}{\frac{\partial \tilde{\theta}}{\partial z}} \frac{\partial \tilde{u}}{\partial z} \right] &\approx \frac{1}{(\Delta z)^2} \left[\frac{e^{\frac{(\kappa-1)z_{k+1/2}}{H}} (\tilde{u}_{j,k+1} - \tilde{u}_{j,k})}{\frac{\partial \tilde{\theta}}{\partial z}_{k+1/2}} - \frac{e^{\frac{(\kappa-1)z_{k-1/2}}{H}} (\tilde{u}_{j,k} - \tilde{u}_{j,k-1})}{\frac{\partial \tilde{\theta}}{\partial z}_{k-1/2}} \right], \\ a \frac{\partial \tilde{q}}{\partial \phi} &\approx -\frac{a}{2\Delta \phi} (\tilde{q}_{j+1} - \tilde{q}_{j-1}) \end{aligned}$$

would turn (2) to the following form:

$$A_{j,k} \tilde{u}_{j+1,k} + B_{j,k} \tilde{u}_{j-1,k} + C_{j,k} \tilde{u}_{j,k+1} + D_{j,k} \tilde{u}_{j,k-1} - E_{j,k} \tilde{u}_{j,k} = F_{j,k} \quad (3)$$

where

$$A_{j,k} = \frac{1}{\sin \phi_{j+1/2} \cos \phi_{j+1/2}} \quad (4)$$

$$B_{j,k} = \frac{1}{\sin \phi_{j-1/2} \cos \phi_{j-1/2}} \quad (5)$$

$$C_{j,k} = \frac{4\Omega^2 a^2 H \sin \phi_j}{R \cos \phi_j} e^{z_k/H} \frac{(\Delta \phi)^2}{(\Delta z)^2} e^{\frac{(\kappa-1)z_{k+1/2}}{H}} \frac{\partial \tilde{\theta}}{\partial z}_{k+1/2} \quad (6)$$

$$D_{j,k} = \frac{4\Omega^2 a^2 H \sin \phi_j}{R \cos \phi_j} e^{z_k/H} \frac{(\Delta \phi)^2}{(\Delta z)^2} e^{\frac{(\kappa-1)z_{k-1/2}}{H}} \frac{\partial \tilde{\theta}}{\partial z}_{k-1/2} \quad (7)$$

$$E_{j,k} = A_{j,k} + B_{j,k} + C_{j,k} + D_{j,k} \quad (8)$$

$$F_{j,k} = -\frac{a\Delta \phi}{2} (\tilde{q}_{j+1,k} - \tilde{q}_{j-1,k}). \quad (9)$$

2 Boundary conditions

The boundary conditions are listed in Equations (S14)-(S16) in the Supplementary materials of NHN22. Equation (S14) gives:

$$\tilde{u}_{j_{max},k} = 0, \quad (10)$$

$$\tilde{u}_{j,1} = 0. \quad (11)$$

Equation (S15) gives:

$$\tilde{u}_{j,k_{max}} = \tilde{u}_{j,k_{max}-1} - \frac{\Delta z R \cos \phi_j e^{-\kappa z_{max}/H}}{2\Omega a H \sin \phi_j} \frac{\theta_{j+1,k_{max}} - \theta_{j-1,k_{max}}}{2\Delta \phi}. \quad (12)$$

Equation (S16) gives:

$$\tilde{u}_{5,k} = (K - 2\pi\Omega a^2 \cos^2 \phi_5)/2\pi a \quad (13)$$

where K is the Kelvin's circulation at 5°N equivalent latitude, which is evaluated as the surface integral of absolute vorticity over the domain where QGPV is greater than q_{REF} at 5°N.

3 Direct solver for poisson equation

Define $(j_{max} - 2)$ -element vectors:

$$p_k = \begin{bmatrix} \tilde{u}_{2,k} \\ \tilde{u}_{3,k} \\ \dots \\ \tilde{u}_{j_{max}-1,k} \\ \tilde{u}_{j_{max}-1,k} \end{bmatrix}, \quad (14)$$

and

$$r_k = \begin{bmatrix} F_{2,k} - B_{2,k}\tilde{u}_{1,k} \\ F_{3,k} \\ \dots \\ F_{j_{max}-2,k} \\ F_{j_{max}-1,k} - A_{j_{max}-1,k}\tilde{u}_{j_{max}} \end{bmatrix}, \quad (15)$$

such that (3) can be written as

$$Q_k p_k + C_k p_{k+1} + D_k p_{k-1} = r_k \quad (16)$$

where

$$[Q_k]_{i,j} = \begin{cases} -E_{j+1,k} & \text{for } i = j \\ A_{j,k} & \text{for } i + 1 = j \\ B_{j+2,k} & \text{for } i - 1 = j \\ 0 & \text{otherwise} \end{cases}, \text{ where } i, j \in [1, j_{max} - 2]. \quad (17)$$

$$[C_k]_{i,j} = C_{j+1,k} \text{ for } i = j, [C_k]_{i,j} = 0 \text{ otherwise.} \quad (18)$$

$$[D_k]_{i,j} = D_{j+1,k} \text{ for } i = j, [D_k]_{i,j} = 0 \text{ otherwise.} \quad (19)$$

Let

$$p_{k+1} = S_k p_k + T_k. \quad (20)$$

Substitute (20) into (16) yields

$$\begin{aligned} Q_k p_k + C_k (S_k p_k + T_k) + D_k p_{k-1} &= r_k \\ p_k &= -(Q_k + C_k S_k)^{-1} D_k p_{k-1} + (Q_k + C_k S_k)^{-1} (r_k - C_k T_k) \end{aligned} \quad (21)$$

Comparing (21) with (20), we get

$$S_{k-1} = -(Q_k + C_k S_k)^{-1} D_k \quad (22)$$

and

$$T_{k-1} = (Q_k + C_k S_k)^{-1} (r_k - C_k T_k). \quad (23)$$

From upper boundary condition (12), we have

$$S_{k_{max}-1} = I, T_{k_{max}-1} = -t, \quad (24)$$

where

$$\begin{aligned} t_j &= \frac{\Delta z R \cos \phi_j e^{-\kappa z_{max}/H}}{2\Omega a H \sin \phi_j} \frac{\theta_{j+1, k_{max}} - \theta_{j-1, k_{max}}}{2\Delta \phi}, \\ t_1 &= t_{j_{max}} = 0. \end{aligned} \quad (25)$$

Using (22) and (23), one can determine all S_k and T_k from k_{max} down to $k = 1$. Finally, starting from the lower boundary condition (11),

$$p_1 = 0.$$

One can use (20) to determine all p_k .